

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	1261-004A
First Inventor	William WAYCOTT
Title	Lettuce Varieties Having Both Iceberg and Romaine Lettuce Characteristics and Methods of Making and Using
Express Mail Label No.	EV207752549US

17858 U.S. PTO  
10/665029

09/16/03

**Assignee:** Seminis Vegetable Seeds, Inc.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
3. ☒ Specification Total pages [ 32 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross references to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC 113) (Total Sheets) [ ]
5. ☐ Oath or Declaration (Total Pages) [ 1 ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application  
(37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
**[Note Box 5 below]**
    - i ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application,
6. ☒ Application Data Sheet.

**ADDRESS TO:** Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)
  - ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement /PTO 1449
  - ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s).
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
17. ☒ Other: Certificate of Express Mailing Label No. EV207752549US


17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/822,817

Prior application information: Examiner: David Kruse Group/Art Unit: 1638

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label 32905

Address	Jondle & Associates P.C. 9085 East Mineral Circle, Suite 200				
City	Centennial	State	CO	Zip Code	80112
Country	U.S.A.	Telephone	303-799-6444	Fax	303-799-6898
Name	Robert J. Jondle, Reg. No. 33,915				
Signature				Date	September 16, 2003

17438 U.S. PTO  
09/16/03

**FEE TRANSMITTAL  
for FY 2003  
(Large Entity)**

		<i>Complete if Known</i>	
		Application Number	10/
		Filing Date	
		First Named Inventor	William WAYCOTT
		Examiner Name	
		Group Art Unit	
Total Amount of Payment	\$918.00	Attorney Docket Number	1261-004A

**METHOD OF PAYMENT** (check one)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 50-2368 in the name of Jondle & Associates P.C.

☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status

2. ☒ Payment Enclosed

☒ Check

☐ Credit Card

**FEE CALCULATION**

**1. FILING FEE**

Fee Code	Fee \$	Fee Description	Fee Paid
1001	750	Utility filing fee	[750.00]
1002	330	Design Filing Fee	[ ]
1003	520	Plant Filing Fee	[ ]
1004	750	Reissue Filing Fee	[ ]
1005	160	Provisional Filing Fee	[ ]

**SUBTOTAL \$750.00**

**2. CLAIMS**

	Extra Claims	Fee	Fee
Paid			
Total Claims	[ 17 ] - 20** = [ ] x	\$18 =	[ ]
Independent Claims	[ 5 ] - 3** = [ 2 ] x	84 =	[ 168 ]
Multiple Dependent Claims	+	280 =	[ ]

\*\*or number previously paid, if greater;

**SUBTOTAL \$168.00**

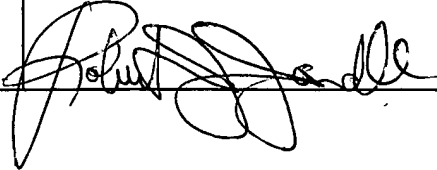
**FEE CALCULATION** (continued)

**3. ADDITIONAL FEES**

Fee Code	Fee Paid	Fee Description	Fee Paid
1051	130	Surcharge - late filing fee or oath	[ ]
1052	50	Surcharge - late provisional filing fee or cover sheet	[ ]
1053	130	Non-English specification	[ ]
1812	2,520	For filing a request for reexamination	[ ]
1804	920	Requesting publication of SIR prior to Examiner action	[ ]
1805	1,840*	Requesting publication of SIR after Examiner action	[ ]
1251	110	Extension for reply within first month	[ ]
1252	410	Extension for reply within second month	[ ]
1253	930	Extension for reply within third month	[ ]
1254	1,450	Extension for reply within fourth month	[ ]
1255	1,970	Extension for reply within fifth month	[ ]
1401	320	Notice of Appeal	[ ]
1402	320	Filing a brief in support of an appeal	[ ]
1403	280	Request for Oral Hearing	[ ]
1451	1,510	Petition to institute a public use proceeding	[ ]
1452	110	Petition to revive -unavoidable	[ ]
1453	1,300	Petition to revive - unintentional	[ ]
1501	1,300	Utility issue fee (or reissue)	[ ]
1502	470	Design issue fee	[ ]
1503	630	Plant issue fee	[ ]
1460	130	Petitions to the Commissioner	[ ]
1807	50	Processing fee under 37 CFR 1.17(q)	[ ]
1806	180	Submission of Information Disclosure Statement	[ ]
8021	40	Recording each patent assignment per property (times number of properties)	[ ]
1809	750	Filing a submission after final rejection (37 CFR 1.129(a))	[ ]
1810	750	For each additional invention to be examined (37 CFR 1.129(b))	[ ]
1801	750	Request for Continued Examination (RCE)	[ ]
1802	900	Request for expedited examination of a design application	[ ]
1504	300	Publication fee for early, voluntary, or normal publication	[ ]
1505	300	Publication fee for republication	[ ]
1455	200	Filing application for patent term adjustment	[ ]
1456	400	Request for reinstatement of term reduced	[ ]
1814	110	Statutory Disclaimer	[ ]
		Other fee (specify)	[ ]

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL \$**

SUBMITTED BY		Complete (if applicable)			
NAME AND REG. NUMBER	Robert J. Jondle, Reg. No. 33,915				
SIGNATURE		DATE	September 16, 2003	DEPOSIT ACCOUNT USER ID	